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PATENT TRADEMARK OFFICE

Express Mail Label No.: ET318104327US

Date of Deposit: February 20, 2002



Attorney Docket No. 07473-033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

Applicant: Lawrence Sherman  
Serial No.: 09/781,132  
Filing Date: February 9, 2001  
For: SYSTEM AND METHOD FOR SIMULTANEOUS  
MULTIPLE DEATH LIFE INSURANCE

Examiner: Not Assigned  
Art Unit: 2166

Box AMENDMENT (Fee)  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the above-identified application are the following documents:

1. Preliminary Amendment Under § 1.115;
2. Statement Filed Pursuant to the Duty of Disclosure  
Under 37 C.F.R. §§ 1.56, 1.97 and 1.98;
3. Form PTO-1449 and Copies of Prior Art References Cited; and
4. Return Post Card.

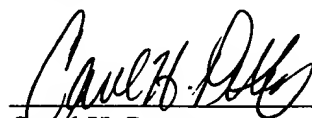
## ADDITIONAL CLAIM FEE CALCULATION

	<u>Number Filed</u>	<u>Basic Fee Allowance</u>	<u>Number Extra</u>	<u>Small Entity Rate*</u>	<u>Fee Due</u>
Total additional claims (37 C.F.R. 1.16(c))	63	-20 =	43	\$ 9.00	\$387.00
Independent Claims (37 C.F.R. 1.16(b))	9	- 3 =	6	\$ 84.00	\$252.00
FEE DUE					<u>\$639.00*</u>

\* The Applicant herein claims small entity status as an independent inventor as defined under 37 C.F.R. § 1.27(a)(1). The undersigned agent of record establishes by this written assertion made under 37 C.F.R. § 1.27(c) that the Applicant is an independent inventor and entitled to small entity status.

A check in the amount of \$639.00 is enclosed to cover the requisite fee for additional claims under small entity status. The Commissioner is authorized to charge any additional fee or to credit any overpayment to Deposit Account No. 50-0311, Referencing Attorney Docket No. 07473-033. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



Carol H. Peters  
Registration No. 45,010  
Mintz, Levin Cohn, Ferris  
Glovsky and Popeo, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
Telephone 617/348-4914  
Attorneys for Applicant

Date: February 20, 2002



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Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Dear Sir:

Prior to examination of the above-identified application, the Applicant respectfully requests that the application be amended as indicated below.

*Amendments*

Cancellation/Addition of Claims Under § 1.121(c)

The Applicant respectfully requests cancellation of claim 1 from the present application and addition of new claim 1 to the present application as provided below.

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01 FC:202 252.00 OP  
02 FC:203 387.00 OP